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PATENT (5226-00600)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No.:

09/460,045

Filed:

December 13, 1999

Inventor(s):

Nesmith, et al.

Title:

Computerized Method and

System for Trading of

Securities

Examiner:

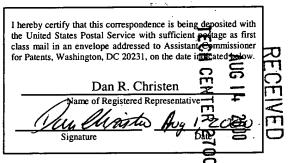
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Group/Art Unit:

2768

Atty. Dkt. No:

5226-00600



PRELIMINARY AMENDMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir/Madam:

Please enter the following preliminary amendment in the above-captioned case.

Please amend the case as listed below.

In the Claims:

Please cancel claims 33-51, 54-61, 68-73, 75-80 without prejudice as the subject matter recited therein. Applicants are not canceling these claims in response to any prior art. Instead, Applicants are canceling these claims for the purpose of simplifying and expediting the examination of the present application. Applicants reserve the right to file one or more continuation applications to pursue claims similar or identical to the claims canceled by this preliminary amendment

CONCLUSION

Applicants have cancelled claims 33-51, 54-61, 68-73, and 75-80. Therefore claims 1-32, 52-53, 62-67, and 74 remain pending in the application. If the Examiner has any questions, comments or suggestions, the undersigned attorney earnestly requests a telephone conference.

Respectfully submitted,

Van Christo

Dan R. Christen

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